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    ~~ will find the beginning of each group of results
          will find the tagged items
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~~ Patient Literature: Inventor search
File 347; JAPLO Dec 1976-2007/ Dec(Updated 080328)
(c) 2008 JPO & JAPI O
File 348: EUROPEAN PATENTS 1978-2007/ 200822
            (c) 2008 European Patent Office
File 349: PCT FULLTEXT 1979-2008/ UB=20080529 UT=20080522
            (c) 2008 W PO Thomson
File 350: Der went WPIX 1963-2008/ UD=200834
            (c) 2008 The Thomson Corporation
Set
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S2
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S4
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12 S5 AND I C=( G06F OR G06Q)
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                (Item 4 from file: 349)
DI ALOG( R) Fi I è 349: PCT FULLTEXT
(c) 2008 W PO Thomson, All rts, reserv.
                **Image available**
01197352
CONFIDENTIAL BLOCK TRADING SYSTEM AND METHOD
SYSTEME ET PROCEDE DE VENTE DE TITRES CONFIDENTIELLE
Patent Applicant/Assignee:
E-XCHANGE ADVANTAGE INC, 51 East 42nd Street, Suite 602, New York, NY
     10017, US, US (Residence), US (Nationality), (For all designated states except: US)
Pat ent Applicant / Inventor:
    WAELBROECK Henri , 38A Claremont Road, Scarsdale, NY 10583, US, US
   (Pasidence), BE (Nationality), (Designated only for: US),
FEDERSPIEL Fred J. 12 Gerlach Place, Larchmont, NY 10583, US, US
(Pasidence), US (Nationality), (Designated only for: US)
LOPEZ John E, ZS Burgundy Lane, Nesconset, NY 11767, US, US (Residence)
US (Nationality), (Designated only for: US)
Legal Representative
UNDERWOOD Steven D et al (agent), Morgan, Lewis & Bockius LLP, 1111
Pennsylvania Avenue, NW Washington, DC 20004, US
Patent and Priority Information (Country, Number, Date):
Patent: WD 200503894 A2-A3 20050113 (WO 0503894)
   Application:
                                 WD 2004US19706 20040622 (PCT/WD US2004019706)
   Priority Application: US 2003603100 20030624
Designated States:
(All protection types applied unless otherwise stated - for applications
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Publication Language: English
Filing Language: English
Fulltext Word Count: 15055
6/3/9
              (Item 2 from file: 350)
DIALOG(R) File 350: Der went WPIX
(c) 2008 The Thomson Corporation, All rts, reserv.
0014601158 - Drawing available
WPI ACC NC: 2004-783124/200477
Related WPI Acc No: 2002-090121; 2002-499387; 2004-268911; 2007-218399
XRPX Acc No: N2004-617137
Electronic securities
                                trading method for market participant e.g.
institution, involves executing trade with security orders at price whose difference from reference price is minimized, where trade complies with
price limits
Patent Assignee: E-XCHANGE ADVANTAGE CORP (EXCH-N); FEDERSPIEL F J (FEDE-1); LOPE-1); PIPELINE FINANCIAL GROUP INC (PIPE-N); WAELBROECK H (WAEL-1)
Inventor: FEDERSPIEL F ;
                                  FEDERSPIEL F J : LOPEZ J : LOPEZ J E :
  WAELBROECK H
Patent Family (6 patents.
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AU 2005220858
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Priority Applications (no., kind. date): US 2000585049 A 20000601; US 2000750768 A 20001229; US 2001870845 A 20010531; US 2003603100 A 20030624; US 2004799205 A 20040311
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^ 6/3/10 (Item 3 from file: 350)
DIALOG(R) File 350: Der went WPIX
(c) 2008 The Thomson Corporation. All rts. reserv.
0014085447 - Drawing available
WPI ACC NC: 2004-268911/200425
Related WPI Acc No: 2002-090121; 2002-499387; 2004-783124; 2007-218399
XRPX Acc No: N2004-212715
                               trading method through Internet, involves notifying presence
of reasonably priced order to another user for receiving order, based on analysis result of received order, and performing trade execution based on
or der s
Patent Assignee: E-XCHANGE ADVANTAGE INC (EXCH-N); FEDERSPIEL F J
(FEDE-I); LCPEZ J E (LCPE-I); WALLBROECK H (WAEL-I); XCHANGE ADVANTAGE
INC E (XCH-N); EXCHANGE ADVANTAGE INC (EXCH-N)
Inventor; FEDERSPIEL F; FEDERSPIEL F J; LCPEZ J; LCPEZ J E;
     WAELBROECK H
Patent Family (5 patents, 107 countries)
                                                                             Application
Number
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Priority Applications (no., kind, date): US 2000585049 A 20000601; US 2000750768 A 20001229; US 2001870845 A 20010531; US 2003603100 A
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~~ Non-Patient Literature: Inventor search
                 2: I NSPEC 1898-2008/ May WI
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File

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File 15: ABI / I nf or m(R) 1971-2008/ Jun 02

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File 613: PR Newswire 1999-2008/Jun 03

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File 813: PR Newswire 1987-1999/Apr 30
         (c) 1999 PR Newswire Association Inc
File 625: American Banker Publications 1981-2008/May 30
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          (c) 2008 The New York Times
File 475: Wail Street Journal Abs 1973-2008/Jun 03
         (c) 2008 The New York Times
File 583: Gaie Group Globalbase (TM) 1986-2002/Dec 13
(c) 2002 The Gale Group
File 139: EconLit 1969-2008/ Mar
         (c) 2008 American Economic Association
File 256: Tecl nf oSource 82-2008/ Mar
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File 996: News Room 2000-2003
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Non-Patent Literature: Full Text Dialog files:

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      16: Cale Group PRCMT(R) 1990-2008/May 27
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File
          (c) 2008 Dialog
File 148: Gale Group Trade & Industry DB 1976-2008/May 14
          (c) 2008 The Gale Group
File 160: Gale Group PROMT(R) 1972-1989
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File 610: Business Wire 1999-2008/Jun 03
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File 613: PR Newswire 1999-2008/Jun 03
          (c) 2008 PR Newswire Association Inc.
File 621: Gale Group New Prod. Annou. (R) 1985-2008/May 14
          (c) 2008 The Gale Group
File 624: McGraw-Hill Publications 1985-2008/Jun 03
          (c) 2008 McGraw-Hill Co. Inc
File 625: American Banker Publications 1981-2008/May 30
          (c) 2008 American Banker
File 626: Bond Buyer Full Text 1981-2008/May 29
          (c) 2008 Bond Buyer
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(c) 2008 San Jose Mercury News
File 636: Cale Group Newsletter DE(TM) 1987-2008/May 28
(c) 2008 The Cale Group
File 810: Busi ness Wre 1986-1999/ Feb 28
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File 813; PR Newswire 1987-1999/Apr 30
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File 996: News Room 2000-2003
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             (Item 1 from file: 267)
DIALOG(R) File 267: Finance & Banking Newsletters
(c) 2008 Dialog. All rts. reserv.
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SEC's Bergmann: Matching Services Essential for STP

John Sandman Security Industry News DOCUMENT TYPE: NEWSLETTER Oct ober 14, 2002 PUBLI SHER: SECURITIES DATA PUBLISHING LANGUAGE: ENGLISH WORD COUNT:

RECORD TYPE: FULL TEXT

(c) SECURITIES DATA PUBLISHING ALL Rts. Reserv.

TEXT:

...the '34 Act with respect to the national system for the clearance and settlement of securities transactions

The Commission has granted Orgeo, a joint venture between Depository Trust & Clearing Corp. and Thomson... Orgeo must link to other matching services so that no matter what matching service a broker dealer and its institutional client use, they will be able to communicate trade and allocation data. The order sets out a procedure for Orgoe to negotiate links...

...links. Orgeo must support industry communication protocols, message format standards, and message file protocols.

The fees for using the linkages must be fair and reasonable. The order provides for items to be considered in determining what's fair and reasonable and sets a 60-day time limit for Orgeo to negotiate the fees with another...

~~ Non-Patent Literature: Non-Full Text Dialog files: 2, 35, 65, 99, 139, 256, 474, 475, 583

File 2: I NSPEC 1898-2008/ May WI

(c) 2008 Institution of Electrical Engineers File 35: Dissertation Abs Online 1861-2008/ Nov

(c) 2008 ProQuest Info&Learning

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65: Inside Conferences 1993-2008/ Jun 02 (c) 2008 BLDSC all rts. reserv. 99: Wison Appl. Sci & Tech Abs 1983-2008/ Apr (c) 2008 The HW Wison Co. File

File 139: EconLit 1969-2008/Mar

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(c) 2008 Info. Sources Inc File 474: New York Times Abs 1969-2008/ Jun 02

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(c) 2008 The New York Times File 583: Gale Group Global base (TM) 1986-2002/ Dec 13

(c) 2002 The Gale Group

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RD (unique items)

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File 347: JAPIO Dec 1976-2007/ Dec (Updat ed 080328)
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File 348: EUROPEAN PATENTS 1978-2007/ 200822
            (c) 2008 European Patent Office
File 349: PCT FULLTEXT 1979-2008/UB=20080529IUT=20080522
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File 350: Der went WPIX 1963-2008/ UD=200834
            (c) 2008 The Thomson Corporation
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^ 7/3, K/1 (Item 1 from file: 349)
DIALOG(R) FILE 349: PCT FULLTEXT
(c) 2008 W PO Thomson, All rts, reserv.
                **Image available**
01197352
CONFIDENTIAL BLOCK TRADING SYSTEM AND METHOD
SYSTEME ET PROCEDE DE VENTE DE TITRES CONFIDENTIELLE
Pat ent Applicant / Assignee:
   E-XCHANGE ADVANTAGE INC, 51 East 42nd Street, Suite 602, New York, NY
     10017, US, US (Residence), US (Nationality), (For all designated states except: US)
Pat ent Applicant/Inventor:
   WAELBROECK Henri, 38A Claremont Road, Scarsdale, NY 10583, US, US
  WELLENDER TEIL 1 SOA O'AL BINDIN DIALL, SCALSBARE, IN 1000, SC., SCALSBARE, IN 1000, SC., WALLONG IN, (Designated only for: US)
FEDERSPIEL Fred J. 12 Gerlach Place, Larchmont, NY 10583, US, US
(Pasi dence), US (Nationality), (Designated only for: US)
LOTEZ John E, 25 Burgundy Lane, Nesconset, NY 11767, US, US (Residence),
US (Nationality), (Designated only for: US)
Legal Representative:
UNDERWCCD Steven D et al (agent), Morgan, Lewis & Bockius LLP, 1111
Pennsylvania Avenue, NW Washington, DC 20004, US
Patent and Priority Information (Country, Number, Date):
Patent: WD 200503894 A2-A2 20050133 (WD 0503894)
   Application:
                                 WD 2004US19706 20040622 (PCT/WD US2004019706)
   Priority Application: US 2003603100 20030624
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2004+
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AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW (EA) AM AZ BY KG KZ MD RU TJ TM Publication Language: English Filing Language: English Fulltext Word Count: 15055

Fulltext Availability: Detailed Description Claims

English Abstract

A method for facilitating trading of securities over a computer system comprises electronically receiving a buy or sell order for a security from a first user; determining that the order is reasonably priced; transmitting to a second user an electronic notification that a reasonably priced order for the security is present, but without notifying the second user of the side of the first user's order, receiving an order from the second user, wherein the second user's or der . . .

Claim

WHAT IS CLAPAFD:

1 A method for facilitating trading of securities over a computer system comprising the steps of

electronically receiving a first buy or sell order for a security from a first user

perermining that said first order is reasonably priced; transmitting to a second user an electronic notification that a determining that said first order is reasonably reasonably priced order for said security is present, but without notifying said second user of the side of said first or der

receiving a second order from said second user...

... predetermined time period has lapsed. I 1. A method as in claim 1. further comprising transmitting an electronic contra order notification to said first user after said second order is received, said contra order notification indicating that a nearly matching contra order is active within the system

12 A method as in claim I 1, wherein said first user receives said contra order notification immediately after said second order is recei ved.

13 An electronic system for facilitating securities trading...

...facilitation system

a financial information exchange network in communication with said trade facilitation system

a communication network in communication with said trade facilitation system one or more user terminals in communication with said communication network and said financial information exchange network; and

an execution engine in communication with said ...

... claim 13, wherein said analytics server evaluates securities orders received from said users by comparing price aggression of said orders to a block price range for a security to which said order is directed. - 64. A system as in claim 14, wherein said block price range is calculated by said analytics server based on recent maiket prices and volatility of said...

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Securities trading method through Internet, involves notifying presence of reasonably priced order to another user for receiving order, based on analysis result of received order, and performing trade execution based on orders

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Original Abstracts: A method for facilitating trading of securities over a computer system comprises electronically receiving a buy or sell order for a security from a first user; determing that the order is reasonably, priced; transmitting to a second user an electronic notification that a reasonably priced order for the security is present, but without notifying the second user of the side of the first user 's order; receiving an order from the second user, wherein the second user's order... system comprises electronically receiving a buy or sell order for a security from a first user; determining that the order is reasonably priced; transmitting to a second user an electronic notification that a reasonably priced order for the security is present, but without notifying the second user of the side of the first user's order; receiving an order from the second user, wherein the second user's order.

...A method for facilitating trading of securities over a computer system comprises electronically receiving a buy or sell order for a security from a first user; determining that the order is reasonably priced; transmitting to a second user an electronic notification that a reasonably priced order for the security is present, but without notifying the second user of the side of the first user's order; receiving an order from the second user, wherein the second user's order...

Claims:

What is claimed: solar hed; so A method for facilitating trading of securities over a computer system comprising the steps of electronically receiving a first buy or sell order for a security from a first user (elerming that said first order is reasonably to a second user an electronic notification that a reasonably priced order for said security is present, but with order is reasonably second user of the side of said first order; receiving a second order from said second user...